

# SEALANT VALIDATION PROGRAM AGREEMENT

This Agreement is made as of the \_\_\_\_\_th day of \_\_\_\_\_, between the Sealant Waterproofing & Restoration Institute, a not-for-profit corporation, having its office at 400 Admiral Blvd., Kansas City, Missouri 64106 ("SWRI") and \_\_\_\_\_, ("Manufacturer") a(n) \_\_\_\_\_ corporation, partnership or limited liability company.

Whereas, Manufacturer desires to display the Seal of Validation of SWRI on or in connection with certain manufacturer's sealant products (list attached) and whereas SWRI desires to allow the use of its validation seal for a limited period on or in connection with sealant products that are determined to conform to certain recognized standards of quality.

Now therefore, in consideration of the Agreements herein and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Manufacturer and SWRI agree as follows:

- 1. Validation.** The term "validation" as used herein shall mean a determination that the sealant has met the requirements of the SWRI Performance Properties Profile listed below and other ASTM tests that may be in effect ("Industry Standard") by SWRI accepted and approved independent laboratories ("Laboratory") certified to run ASTM C-24 tests. The SWRI certificate evidencing that a sealant product has been validated shall be called a "Certificate of Validation."

## Performance Properties Profile

A. Validation of the SWRI Performance Properties Profile shall include the following ASTM tests:

1. C 719 (Canada - Method 14.4 - Can 2-19.0-M77) Adhesion and Cohesion of Elastomeric Joint Sealants Under Cyclic Movement (Hockman Cycle). Test must be performed to validate the greatest joint movement capability claimed on the Manufacturer's data sheet, results of which to be listed in the Seal of Validation Data Screen on the Manufacturer's data sheet.
2. C 661 (Canada - Method 8.1) Test Method for Indentation Hardness of Elastomeric Type Sealants by Means of Durometer.

**Note:**

1. All ASTM tests performed shall be of the latest or current edition.
2. All tests performed shall not be modified in any way.
3. Sealant color for testing shall be selected from Manufacturer's standard color chart.

- 2. Duration.** Validation for any sealant product tested and certified to comply with the established Industry Standard shall remain in effect for a period not to exceed five (5) years from the date of which the validation testing is done, so long as the product is not reformulated by Manufacturer in such a way as to effect any of the characteristics tested and validated.

In the event that an Industry Standard is amended, all sealant products previously validated under that standard may be required, within such period of time as may be established by SWRI, to conform with any more restrictive amendment and to be re-validated as a condition to retention of Certificate of Validation.

**Note:** Upon expiration of the validated product, it must be resubmitted for testing, even if no changes have been made to the product, to see if test results comply with stated properties of the product.



3. **Testing.** It will be the responsibility of the Manufacturer to initiate sealant testing of any product. They will direct the Laboratory to obtain a specific product sample from the product stream of an independent distributor outside of the laboratory's geographical region as defined by SWRI. The Laboratory must verify with and through the Manufacturer that the sample lot is within allowable shelf life limits prior to testing. The Manufacturer will then specify the test profile they wish produced for SWRI Validation as listed under Paragraph 1 Validation.
4. **Reports.** Upon completion of the tests, Manufacturer may apply to SWRI for a Certificate of Validation by paying to SWRI a validation fee per sealant as established by SWRI, which may be modified at any time in its sole discretion, and by submitting to SWRI a report of the Laboratory that contains the results of tests and including the following information:
  - a. Date of testing
  - b. Product trademark or designation
  - c. Product lot number
  - d. Product shelf life verification
  - e. Test results (All test results must indicate all pertinent testing criteria)
  - f. Draft of proposed technical data sheet

5. **Certificate of Validation.** SWRI will issue Certificate of Validation for each sealant determined by a Laboratory to have met the requirements of the applicable Industry Standard(s) for that sealant product. The Certificate of Validation shall include the following information:

- a. Date of testing
- b. Trademark or product designation
- c. Expiration date of validation (5 years from Item "a.")
- d. Specific substrate(s) tested
- e. Both to be stated:
  1. Pass/Fail report on testing, and
  2. Actual test result numbers
- f. State whether substrate was primed or unprimed and type of primer used


Manufacturer shall hold SWRI free and harmless from any liability whatsoever (including legal fees) that may arise from or in any way be related to SWRI allowing Manufacturer's use of Seal of Validation and/or Certificate of Validation.

6. **Seal of Validation.** SWRI grants Manufacturer the right to use the appropriate Seal of Validation ("Seal") as an attachment, copies of which are attached hereto as Attachment "A", on and in connection with each sealant product for which a Certificate of Validation has not expired.

The Seal may be attached to any written public offering of the manufacturer and must be on at least one. The Seal shall have printed within its face the following:

- a. Date of testing
- b. Trademark or product designation
- c. Expiration date of validation (5 years from Item "a.")
- d. Specific substrate(s) tested – primed or unprimed and type of primer used
- e. Both to be stated:
  1. Pass/Fail report on testing, and
  2. Actual test result numbers

**Note:** Complete validation test data is available from SWRI.



Any defacing or alteration of Seal would be considered a break of Validation agreement.

SWRI shall grant and provide a Seal of Validation, which documents all of the pertinent validated performance data of the product including, but not limited to the expiration dates. This Seal must appear in clear and legible form on the Manufacturer's data sheet.

- 7. Use of Seal.** The Manufacturer agrees to display the SWRI Seal of Validation on the product data sheet(s) as soon after validation as reasonably possible but, in any case, no later than one year after validation. The Manufacturer agrees to alter its Web site resources relative to the validated product(s) as soon as reasonably possible but, in any case, no later than six (6) months after validation. Manufacturer further agrees that the Seal of Validation may be affixed to any other printed product offering(s) relative to the validated products(s) and that any replication must be unaltered in any way from the original Seal of Validation. Noncompliance could result in withdrawal of the Seal of Validation (see item 9). Upon expiration of validation and if the validated product has not been revalidated, the Manufacturer shall immediately remove the Seal from its web site and shall also remove the Seal from all printed material including, but not limited to product data sheets and product labels no later than one (1) year from the expiration date of validation.
- 8. Misuse of Seal.** If SWRI, in its sole judgment, determines that Manufacturer has misused the Seal, SWRI may withdraw the Certificate of Validation and the Manufacturer's right to use the Seal with respect to any sealant products in connection with which the misuse or misrepresentation occurred. As used herein, the term "misuse" shall include, as examples but not by way of limitation, the following:

  - A. Any misrepresentation of the Seal as signifying anything other than the validation of the product
  - B. Any use of the Seal or a facsimile thereof in connection with any sealant product manufactured or sold by Manufacturer, a sample of which has not been validated, such as displaying a facsimile of the Seal in advertising literature that refers to non-validated sealant products and fails to state clearly and conspicuously that the Seal applies only to those sealant products that are validated.
- 9. Withdrawal of Validation.** If at any time SWRI determines, as provided above, that Manufacturer has misused the SWRI Validation Program in any way including but not limited to those acts set forth in Paragraph 8, the Certificate of Validation issued under Paragraph 2 shall be withdrawn and the Manufacturer shall there upon cease all use of SWRI's Seal of Validation. Such certificate and rights shall not be reinstated until SWRI, in its sole judgment, is satisfied that the misuse has ceased and that it shall not reoccur.
- 10. Validation of Private Label Sealant Products.** As used herein, the term "Private Label Sealant Product" means a sealant product that (1) is manufactured by one firm, company or organization and sold by another; (2) carries a different brand name, trade name or model number from that of the Manufacturer; and (3) may have essential characteristics that are the same as a sealant product which has been validated by Manufacturer under its own name.

All private label products, even those bearing the Manufacturer's identification number, shall require Certificate of Validation under their private label name. Any other private label sealant product may obtain product validation by following the procedures used by manufacturers, which include application in writing, appropriate testing data from a Laboratory and payment of fees as provided in Paragraph 4 of this document.



**11. Withdrawal from the Validation Program.** SWRI reserves the right to change the terms and conditions governing validation and use of the Seal from time to time. Manufacturer shall abide by such changed provisions upon receipt of notice thereof or otherwise withdraw entirely from the SWRI Sealant Validation Program by surrendering its Certificate of Validation and foregoing the right to use the Seal or facsimile thereof in any way.

**12. Authority.** The parties hereto certify by the signatures below of their authorized agent that they have read this Agreement, understand all its provisions and agree to abide by them.

\_\_\_\_\_  
Company Name

By: \_\_\_\_\_  
*President or other Authorized Representative*

**Sealant, Waterproofing & Restoration Institute**

By: \_\_\_\_\_

**Disclaimer**

This program was developed by industry representatives as an aid to purchasers of certain industry products so that they will have a better opportunity to compare products and make informed decisions covering purchases and installation of materials. The Sealant, Waterproofing & Restoration Institute, its members and agents (collectively "SWRI") have merely made available test data from third party sources. Accordingly, SWRI cannot accept responsibility for the accuracy of the information, representations of manufacturers or the appropriateness of applications of industry products under all circumstances.



**Sealant, Waterproofing & Restoration Institute**

400 Admiral Blvd. ■ Kansas City, MO 64106

816.472.7974 ■ Fax: 816.472.7765

[www.swrionline.org](http://www.swrionline.org)

SWRI Headquarters Use Only:

\_\_\_ # \_\_\_\_\_ Sealant(s) to be validated (list attached)  
\_\_\_ Applicable Fees Paid \_\_\_ Certificate of Validation issued: Date: \_\_\_/\_\_\_/\_\_\_